

06/28/01

PTO/SB/05 (08/00)

App for use through 10/31/2002, OMB 0651-0032

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37.1.53(b))

Attorney Docket No. 35643.0000

First Inventor Cohen et al.

Title Novel Process For The Manufacture

Express Mail Label No. EF380359597US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 18 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 6 /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: fee transmittal; check for \$400.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of the prior application No: /

Prior application information: Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

NAME	Kevin D. McCarthy, Esq.				
	Hodgson Russ LLP				
ADDRESS	One M&T Plaza, Suite 2000				
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"Express Mail" Mailing Label Number EF380359597US

Date of Deposit June 28, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Kathleen M. Ashton
Name

Signature

FEE TRANSFERAL

for FY 2001

Patent Fees are subject to annual revision.

Application Number

Filing Date

June 28, 2001

First Named Inventor

Cohen et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$400.00)

Attorney Docket Number

35643.0000

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442
Deposit Account Name:
Hodgson Russ LLP

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$
112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within second month	\$
117	890	217	445	Extension for reply within third month	\$
118	1,390	218	695	Extension for reply within fourth month	\$
128	1,890	228	945	Extension for reply within fifth month	\$
119	310	219	155	Notice of Appeal	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	270	221	135	Request for oral hearing	\$
138	1,510	138	1,510	Petition to institute a public use proceeding	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,240	241	620	Petition to revive - unintentional	\$
142	1,240	242	620	10 advance copies Utility issue fee (or reissue)	\$
143	440	243	220	Design issue fee	\$
144	600	244	300	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Processing fee under 37 CFR 1.17(g)	\$
126	180	126	180	Submission of Information Disclosure Statement	\$
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
146	710	246	355	Filing a submission after final rejection(37 CFR 1.129(a))	\$
149	710	249	355	For each add'l invention to be examined(37 CFR 1.129(b))	\$
179	710	279	355	Request For Continued Examination (RCE)	\$
169	900	169	900	Request for Expedited Examination of a design appln.	\$

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE
Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$355
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
SUBTOTAL (1)					\$355

2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid

Total Claims $25 / -20^{**} = 5 / \times / 9 / =$ \$45

Independent Claims $2 / - 3^{**} = 0 / \times / 40 / =$ \$0

Multiple dependent $/ / \times / / =$ \$

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim if not paid

109 80 209 40 **Reissue independent claims over original patent

110 18 210 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$45

SIGNATURE:

Kevin D. McCarthy

Reg. No. 35,278

DATE: June 28, 2001

Telephone: (716) 848-1622

Express Mail® Mailing Label Number: EF380359597US

Date of Deposit June 28, 2001

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

I hereby Certify that this paper or fee is being deposited with the United States Postal Service, Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

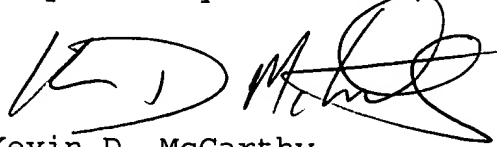
Kathleen M. Ashton

Name

Signature

If the examiner has any questions, please contact the undersigned.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Kevin D. McCarthy', written over a horizontal line.

Kevin D. McCarthy
Reg. No. 35,278

Hodgson Russ LLP
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Buffalo, New York 14203
June 28, 2001
BFLODOCS:561659_1 (C1DN01)

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